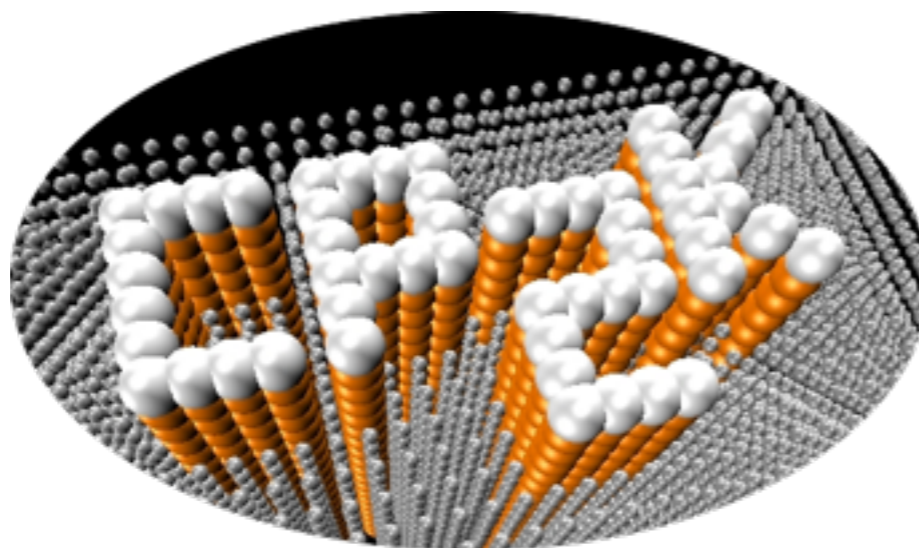


CP2K UK WORKSHOP 2014  
27-28 August 2014, London UK

# Ab initio Molecular Dynamics

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<http://www.cp2k.org>

# Outline

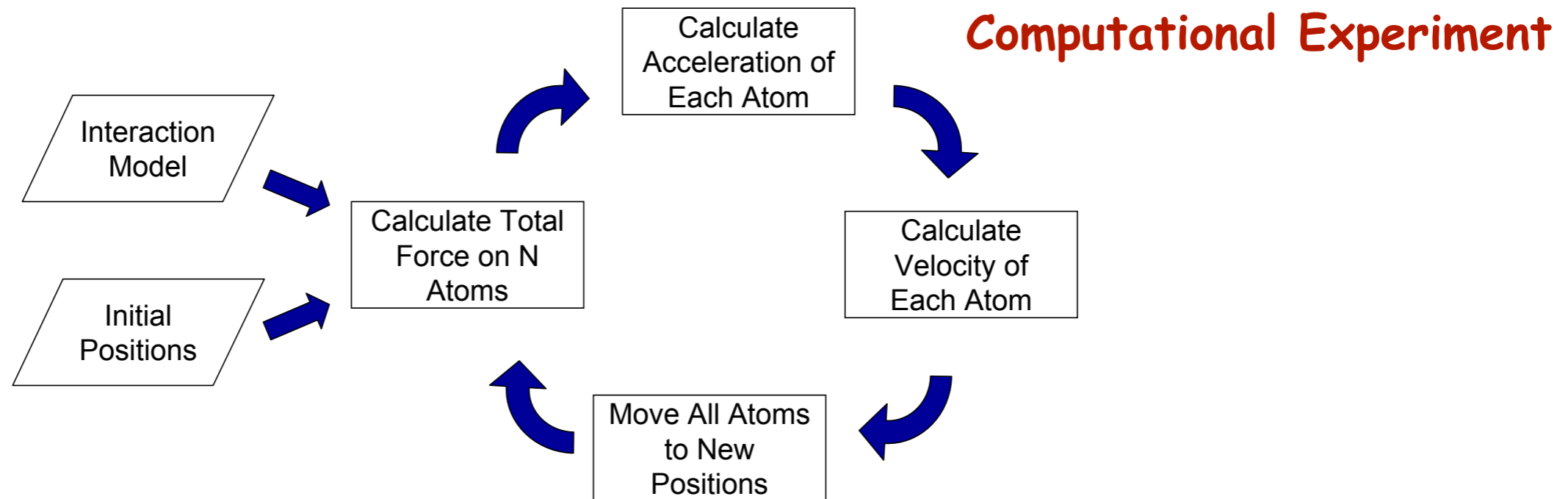
- ☀ MD and statistical mechanics
- ☀ Equations of motion
- ☀ Numerical integration
- ☀ Born Oppenheimer MD
- ☀ Car Parrinello MD
- ☀ Constraints

# Molecular Dynamics

Given the initial conditions ( $\{R_I\};\{P_I\}$ ), an interacting potential ( $H$ ),  
and the thermodynamic conditions ( $T,V,P$ )

**generate deterministic trajectories that sample the  
phase space according to statistical mechanics**

M.P. Allen and D.J. Tildesley, *Computer Simulations of Liquids*, Clarendon Press, Oxford, 1987  
D. Frenkel and B. Smit, *Understanding Molecular Simulations*, Computer Sciences Series, Academic Press, 2002



# Density of state

In statistical mechanics an observable **macrostate** is defined by thermodynamic parameters

## (NVE) MICROCANONICAL ENSEMBLE

its **probability** is given by its degeneracy:

number of **microstates** (configurations) consistent with the **macrostate**

$$\Omega(N, V, E) \propto \int d\mathbf{r}_1 \dots \int d\mathbf{r}_N \int d\mathbf{p}_1 \dots \int d\mathbf{p}_N \delta(\mathcal{H}(\mathbf{r}^N, \mathbf{p}^N) - E)$$

$$W_{\text{NVE}}(\Gamma) = \delta(\mathcal{H}(\Gamma) - E) \quad \text{statistical weight}$$

**all accessible states  
are equally probable**

## $A(\mathbf{r}, \mathbf{p})$ ENSEMBLE AVERAGE

$$\langle A \rangle_{\text{NVE}} = \frac{\int d\mathbf{r}_1 \dots \int d\mathbf{r}_N \int d\mathbf{p}_1 \dots \int d\mathbf{p}_N \delta(\mathcal{H}(\mathbf{r}^N, \mathbf{p}^N) - E) A(\mathbf{r}^N, \mathbf{p}^N)}{\int d\mathbf{r}_1 \dots \int d\mathbf{r}_N \int d\mathbf{p}_1 \dots \int d\mathbf{p}_N \delta(\mathcal{H}(\mathbf{r}^N, \mathbf{p}^N) - E)}$$

# Canonical Partition Function

System of particles in equilibrium with a **thermal bath**

## (NVT) CANONICAL ENSEMBLE

The Laplace transform of the density of state

$$Q(N, V, T) = \int \exp(-\beta E) \Omega(N, V, E) dE$$

**Probability of the macrostate at a given T**  
**Boltzmann's distribution**

$$Q(N, V, T) = \frac{1}{N! h^{3N}} \int \exp[-\beta \mathcal{H}(\mathbf{r}^N, \mathbf{p}^N)] d\mathbf{r}^N d\mathbf{p}^N = \frac{1}{\Lambda(\beta)^{3N} N!} Z(N, V, T)$$

one dimensional integral over E replaced by configurational integral  
analytic kinetic part is integrated out

$$Z(N, V, T) = \int e^{-\beta U(\mathbf{r})} d\mathbf{r}$$

**configurational  
partition function**

# Time Average

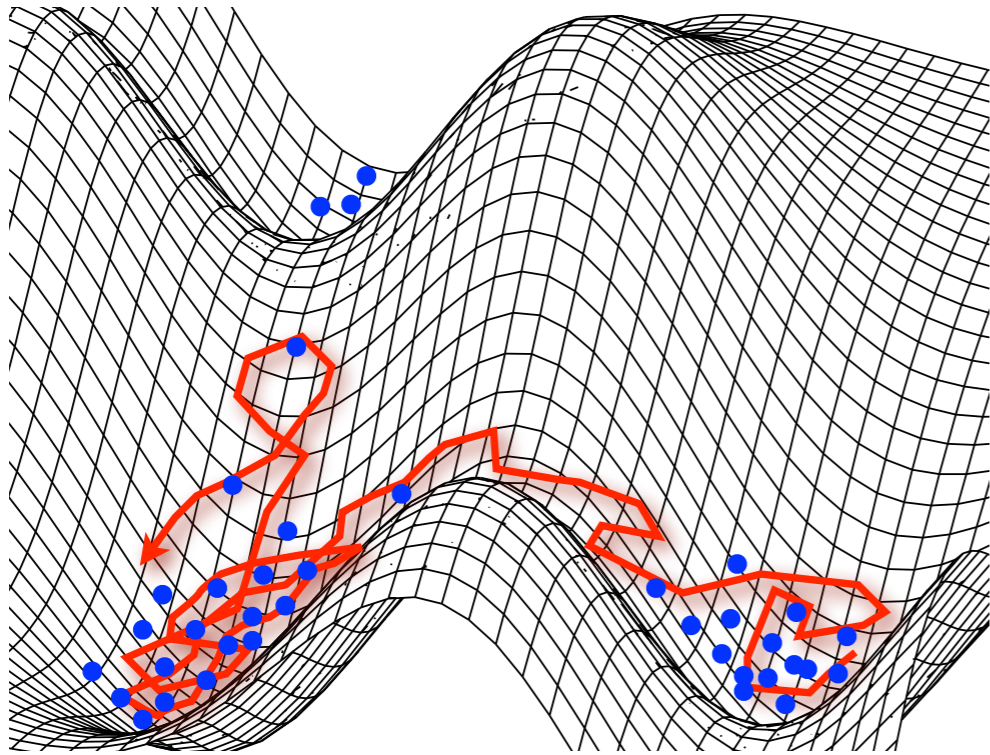
**Molecular Dynamics:** propagation of particle trajectories solving eq. of motion  
**observables** from averaging over sufficiently long time

$$\bar{A} = \lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t d\tau A(\mathbf{r}^N(\tau), \mathbf{p}^N(\tau))$$

**Ergodic Hypothesis:** in the limit of infinitely long trajectories, the average does not depend on the initial conditions

$$\bar{A} = \frac{\sum_{\text{init. cond.}} \lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t d\tau A_i(\mathbf{r}^N(\tau), \mathbf{p}^N(\tau))}{\Omega(N, V, E)}$$

# Sampling the Phase Space via MD



## Ergodic Hypothesis

$$\bar{A} = \langle A \rangle = \frac{\sum A_i}{\Omega} = \lim_{t \rightarrow \infty} \frac{1}{t} \int_0^t d\tau \langle A(\tau) \rangle$$

- ☀ propagation over time
- ☀ based on models for molecular-scale interactions
- ☀ **Newton's equations** of motions: forces are needed
- ☀ Numerical integration: **Time Step**
- ☀ Observations are made based on the evolution

# Total Energy

Model potential depending only on the particles' coordinates  
no external sources of forces

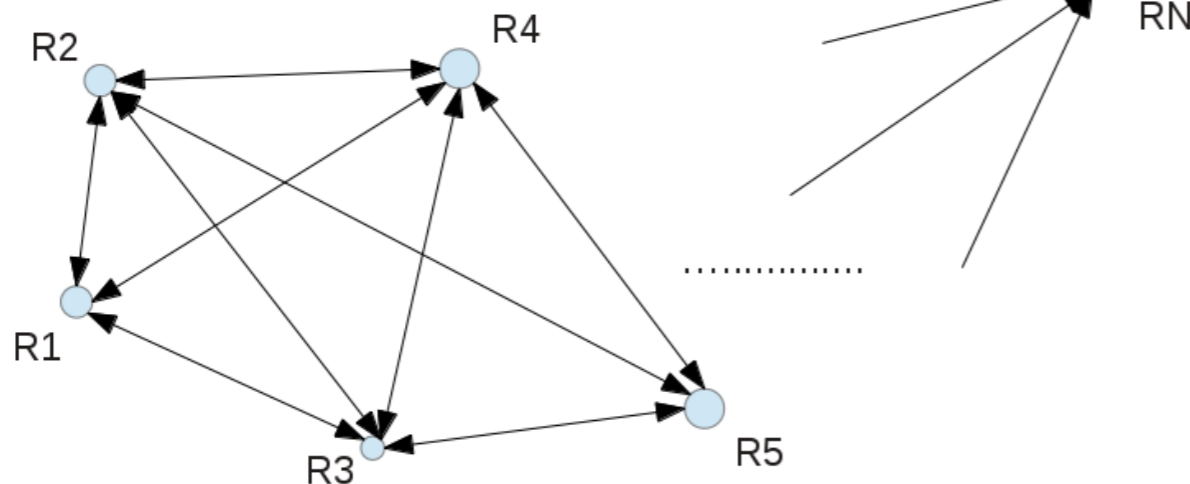
$$\mathcal{H}(\mathbf{R}^N, \mathbf{P}^N) = \sum_{I=1}^N \frac{1}{2} M_I \dot{\mathbf{R}}_I^2 + U(\mathbf{R}^N)$$

**Kinetic**                      **Potential**

$N$ ,  $V$ , and  $E$  are the constants of motion in microcanonical ensemble

$$\frac{d\mathcal{H}}{dt} = \sum_I \left[ \frac{\partial \mathcal{H}}{\partial \mathbf{R}_I} \dot{\mathbf{R}}_I + \frac{\partial \mathcal{H}}{\partial \mathbf{P}_I} \dot{\mathbf{P}}_I \right] = 0$$

**Force Field**



**Newton's  
EOM**

$$\mathbf{F}_I = M_I \ddot{\mathbf{R}}_I = - \frac{dU(\mathbf{R}^N)}{d\mathbf{R}_I}$$



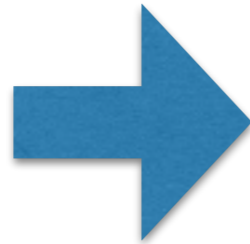
# Equations of Motion (EOM)

Set of classical particles in a potential

Hamilton EOM

$$\dot{\mathbf{P}}_I = -\frac{\partial \mathcal{H}}{\partial \mathbf{R}_I}$$

$$\dot{\mathbf{R}}_I = \frac{\partial \mathcal{H}}{\partial \mathbf{P}_I}$$



$$\dot{\mathbf{P}}_I = -\frac{\partial \mathcal{H}}{\partial \mathbf{R}_I} = -\frac{\partial U(\{\mathbf{R}_I\})}{\partial \mathbf{R}_I} = F_I(\{\mathbf{R}_I\})$$

Conservation of energy:  $\frac{dE}{dt} = \frac{d\mathcal{H}}{dt} = 0$

Lagrange EOM

$$\mathcal{L}(\{\mathbf{R}_I\}, \{\dot{\mathbf{R}}_I\}) = \sum_I \frac{1}{2} M_I \dot{\mathbf{R}}_I^2 - U(\{\mathbf{R}_I\})$$

$$\frac{d}{dt} \frac{\partial \mathcal{L}}{\partial \dot{\mathbf{R}}_I} = \frac{\partial \mathcal{L}}{\partial \mathbf{R}_I}$$

# Numerical Integration

The system is propagated in the phase space

Discretisation of  
time

$$t_0 = 0 ; t_1 = \Delta t ; \dots ; t_N = N \cdot \Delta t ; \dots$$

The fast time scales of the system determine the choice of time step.

A good integrator algorithm:

- ☀ Accurate for long time steps: higher order derivatives, more memory storage required
- ☀ Minimum number of force calculations
- ☀ Long time energy conservation and stability in spite of small perturbations
- ☀ Approximation of the true trajectory: Lyapunov instability
- ☀ Short time reversibility: invariant for  $t \rightarrow -t$

# Source of Errors

- ☀ Type of integrator  
time reversible, predictor-corrector, symplectic
- ☀ Time Step  
short time accuracy
- ☀ Consistency of forces and energy  
e.g. cutoffs leading to non smooth energy surfaces
- ☀ Accuracy of forces  
e.g. convergence of iterative optimisation (SCF, constraints)

# velocity verlet

$$\mathbf{R}(t + \Delta t) = \mathbf{R}(t) + \mathbf{V}(t)\Delta t + \frac{\mathbf{F}(t)}{2M}\Delta t^2$$

$$\mathbf{V}(t + \Delta t) = \mathbf{V}(t) + \frac{\mathbf{F}(t + \Delta t) + \mathbf{F}(t)}{2M}\Delta t$$

- ☀ 1 force evaluation, 3 storage vectors
- ☀ Positions and velocities available at equal time
- ☀ Contains error of order  $\Delta t^4$
- ☀ Time reversible
- ☀ Conserves volume in phase space: symplectic
- ☀ Long time stability
- ☀ Simple adaptation for multiple time steps
- ☀ Simple adaptation for constraints

# Implementation of velocity-verlet

## Half Kick -- Drift -- Half Kick

Given  $\mathbf{R}(\cdot), \mathbf{V}(\cdot), \mathbf{F}(\cdot)$  at time-step  $i$ time

Update  $\mathbf{V}(\cdot)$  by half time-step

$$\mathbf{V}(\cdot) := \mathbf{V}(\cdot) + \frac{dt}{2M} \mathbf{F}(\cdot)$$

Then update  $\mathbf{R}(\cdot)$  by the entire time-step

$$\mathbf{R}(\cdot) := \mathbf{R}(\cdot) + dt \mathbf{V}(\cdot)$$

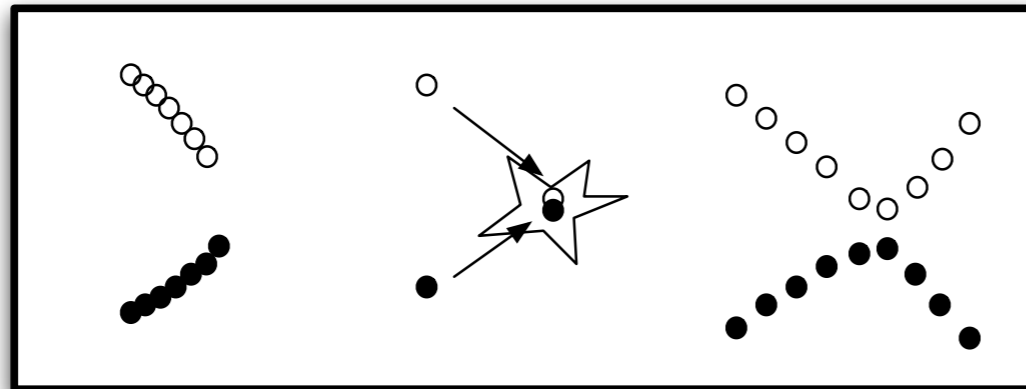
Compute the new  $\mathbf{F}(\cdot)$  by using the updated  $\mathbf{R}(\cdot)$

Finalize the update of  $\mathbf{V}(\cdot)$  by the second half time-step

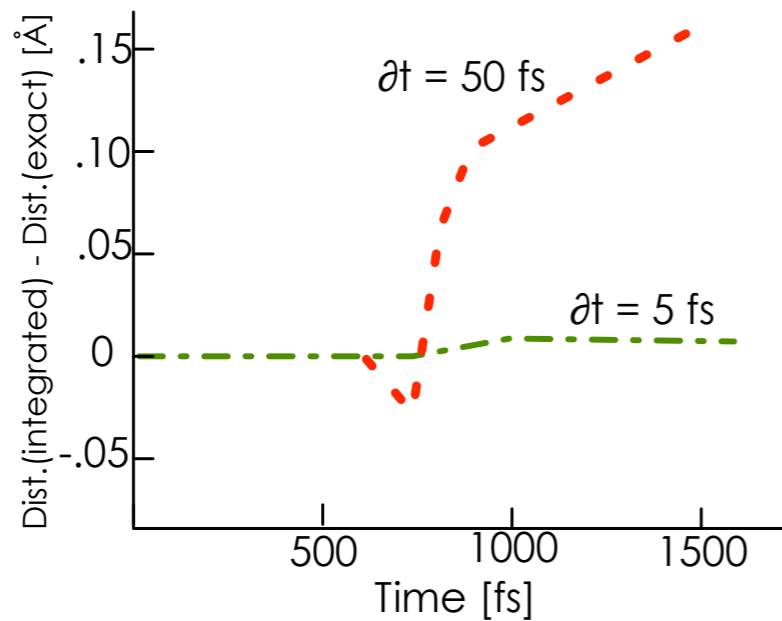
$$\mathbf{V}(\cdot) := \mathbf{V}(\cdot) + \frac{dt}{2M} \mathbf{F}(\cdot)$$

# Choice of the Time Step

Compromise between **efficiency** and **reliability**



Pairwise potential  
 $\Delta t = 0.5\text{fs}, 50\text{fs}, 5\text{fs}$

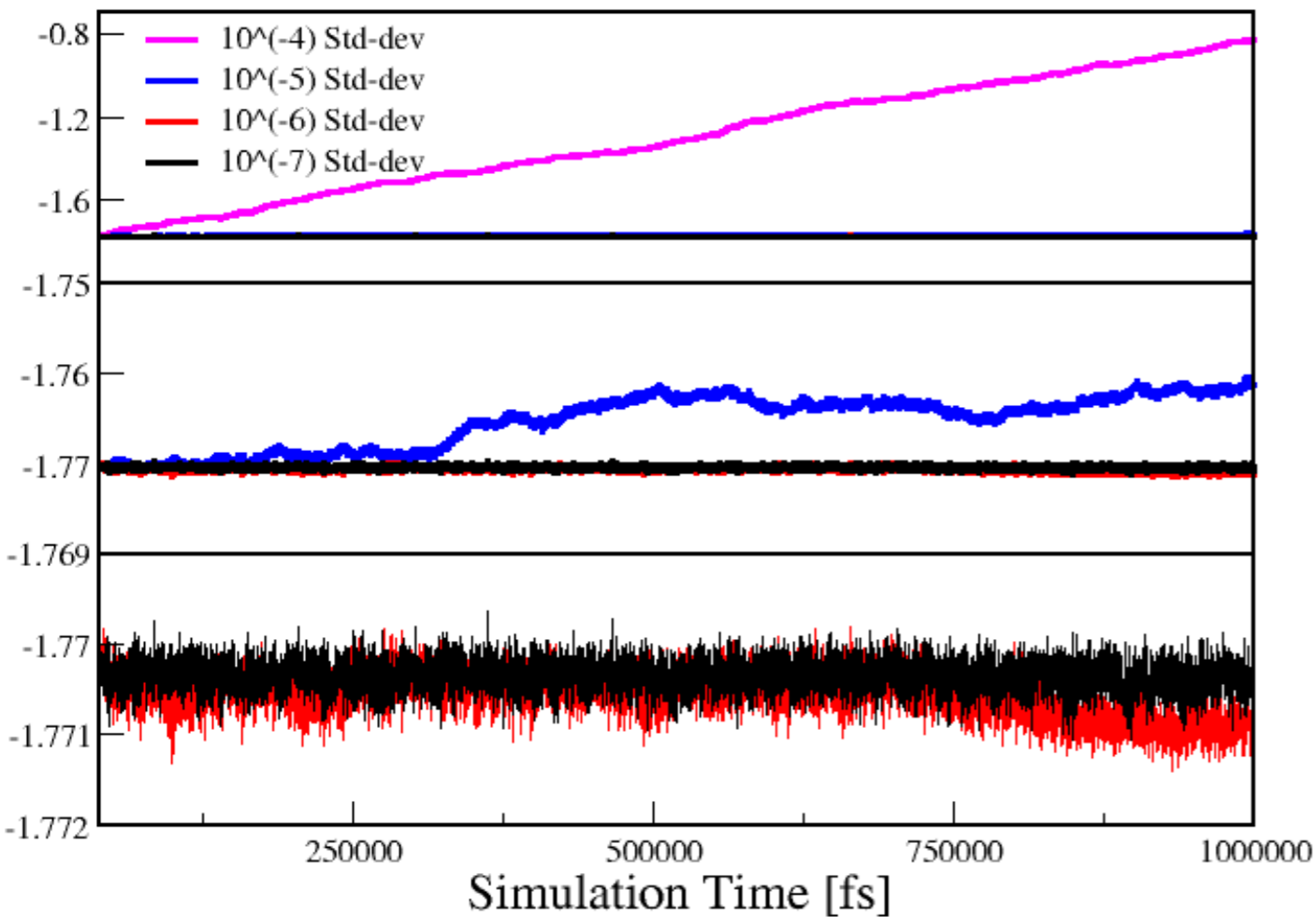


Difference between exact and numerical trajectory

**Time-step at least 10 times smaller than the fastest period of motion**

# Test on Required Accuracy of Forces

Classical FF, 64 H<sub>2</sub>O at 330 K: TIP3P(flexible), SPME



Stability depends on accuracy of forces

Stdev. $\Delta f$ Hartree/Bohr	Stdev. Energy $\mu$ Hartree	Drift $\mu$ Hartree/ns	Drift Kelvin/ns
—	170.35	35.9	0.06
$10^{-10}$	179.55	-85.7	-0.14
$10^{-08}$	173.68	6.5	0.01
$10^{-07}$	177.83	-58.2	-0.10
$10^{-06}$	—	-385.4	-0.63
$10^{-05}$	—	9255.8	15.21
$10^{-04}$	—	972810.0	1599.31

# Temperature

Equipartition of energy over DOF  $\left\langle \frac{1}{2} M_I V_{I\alpha}^2 \right\rangle = \frac{1}{2} k_B T$

Number of DOF  $N_f = 3N - 3$

Kinetic energy  $K = N_f \frac{1}{2} k_B T$

Instantaneous temperature  $T(t) = \frac{\sum_I \sum_{\alpha} M_I V_{I\alpha}(t)^2}{N_f k_B}$

$$T_{\text{NVE}} = \frac{1}{K} \sum_{k=1}^K T(t_k)$$



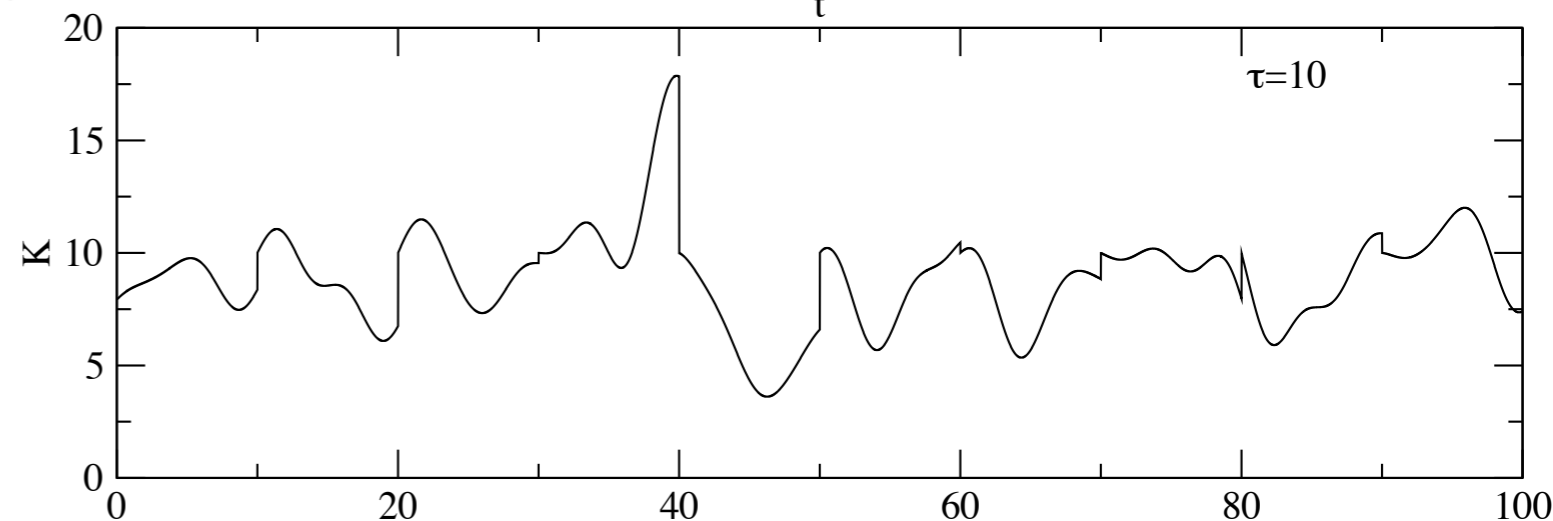
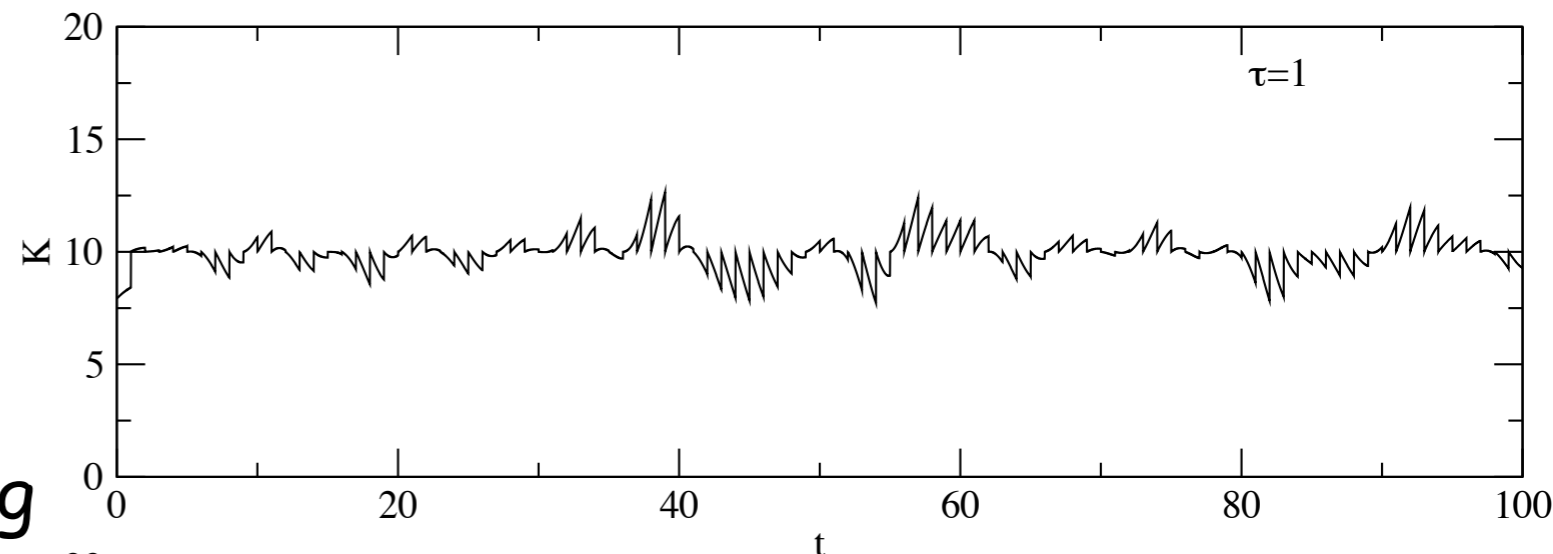
# Velocity Rescaling

Keep the temperature at the desired value  $T_0$   
multiply all velocities by the same factor

$$\Delta T = \frac{1}{2} \sum_{i=1}^N \frac{2}{3} \frac{m_i (\lambda v_i)^2}{N k_B} - \frac{1}{2} \sum_{i=1}^N \frac{2}{3} \frac{m_i v_i^2}{N k_B} = (\lambda^2 - 1) T(t)$$

$$\lambda = \sqrt{T_0 / T(t)}$$

- Easy
- Tot. energy not conserved
- No correct ensemble sampling
- Used for fast equilibration
- Not time rev.



# Extended System

To extend to other ensembles, the Lagrangian equations of motion need to be reformulated: the system moves in a different phase space

impose control of specific thermodynamic variables

## Canonical Ensemble

Generate the correct Boltzmann distribution by coupling with a heat bath

$$\mathcal{P}_i = \frac{\exp(-E_i/k_B T)}{\sum_j \exp(-E_j/k_B T)}$$

## Andersen Thermostat

- ☀ Coupling by stochastic impulsive forces: collision with bath
- ☀ Randomly selected particle (local)
- ☀ Strength: frequency of collisions
- ☀ New velocity drawn from M-B dist.

$$\mathcal{P}(p) = \left(\frac{\beta}{2\pi m}\right)^{3/2} \exp[-p^2\beta/2m] \quad p_{\text{new}} = \sqrt{mk_B T_{\text{bath}}} R$$

- ☀ Mixing Newtonian dyn. with stochastic
- ☀ Enhanced decay of vel. correlation (no transport)

# Nose Thermostat

Heat bath is an integral part of the system:

$$\mathcal{L}_{Nose} = \sum_I \frac{M_I}{2} s^2 \dot{\mathbf{R}}_I^2 - \mathcal{U}(\{\mathbf{R}_I\}) + \frac{Q}{2} \dot{s}^2 - gk_B T \ln s$$

Additional variables  $s$ ,  $ds/dt$ , and coupling strength parameter  $Q$

Conjugate momenta

$$\mathbf{P}_I = \frac{\partial \mathcal{L}_{Nose}}{\partial \dot{\mathbf{R}}_I} = M_I s^2 \dot{\mathbf{R}}_I \quad p_s = \frac{\partial \mathcal{L}_{Nose}}{\partial \dot{s}} = Q \dot{s}$$

EOM

$$\ddot{\mathbf{R}}_I = \frac{\mathbf{F}_I}{M_I} - \dot{s} \dot{\mathbf{R}}_I \quad \ddot{s} = \frac{1}{Q} \left[ \sum_I M_I \dot{\mathbf{R}}_I^2 - gk_B T \right]$$

Time-rescaling ( $dt' = s dt$ );  $P' = P/s$   
Microcanonical in extended system

# Nose-Hoover

Differentiation in real time  $t'$  :

$$\frac{1}{s} \frac{d}{dt'}$$

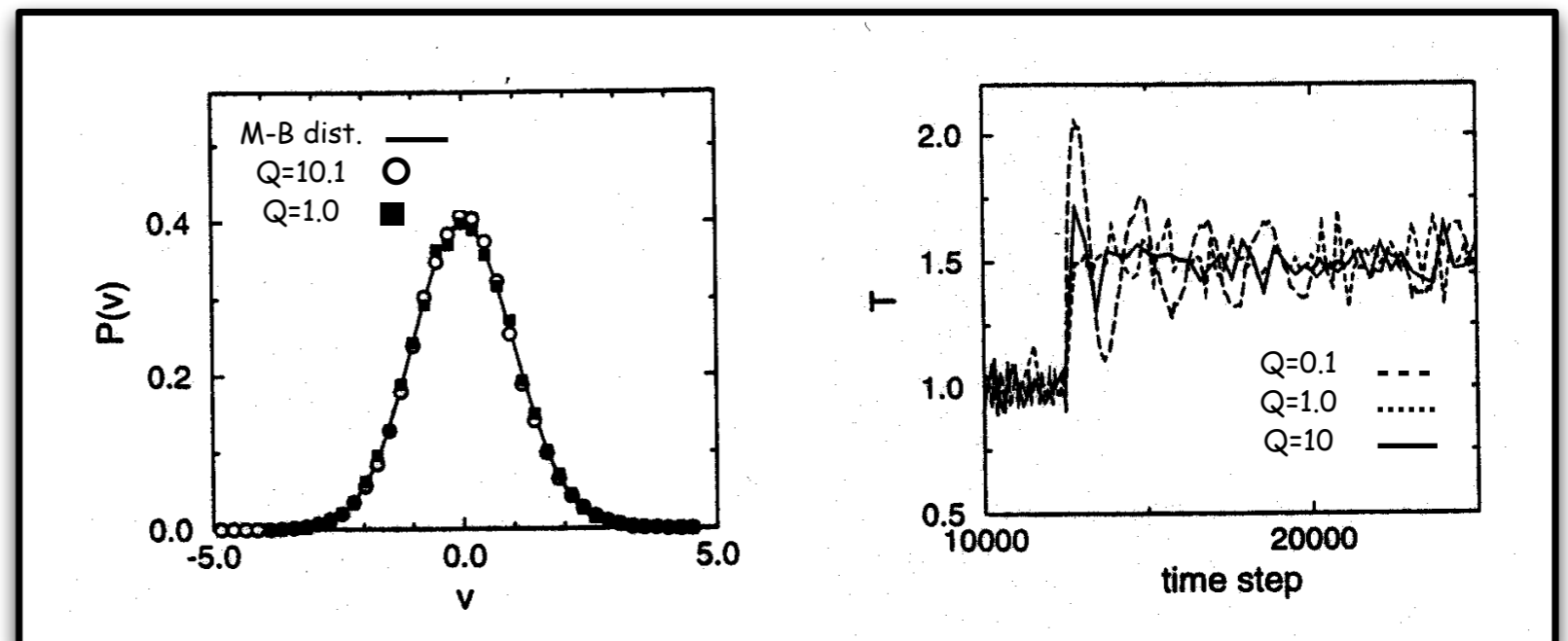
Friction parameter and relaxation time

$$\xi = \frac{sp_s}{Q} \quad \nu_T = \sqrt{\frac{N_f k_B T}{Q}}$$

$$\dot{\xi} = \nu_T^2 \left[ \frac{\sum_I M_I V_I^2}{N_f k_B T} - 1 \right] = \nu_T^2 \left[ \frac{\mathcal{T}(t)}{T} - 1 \right]$$

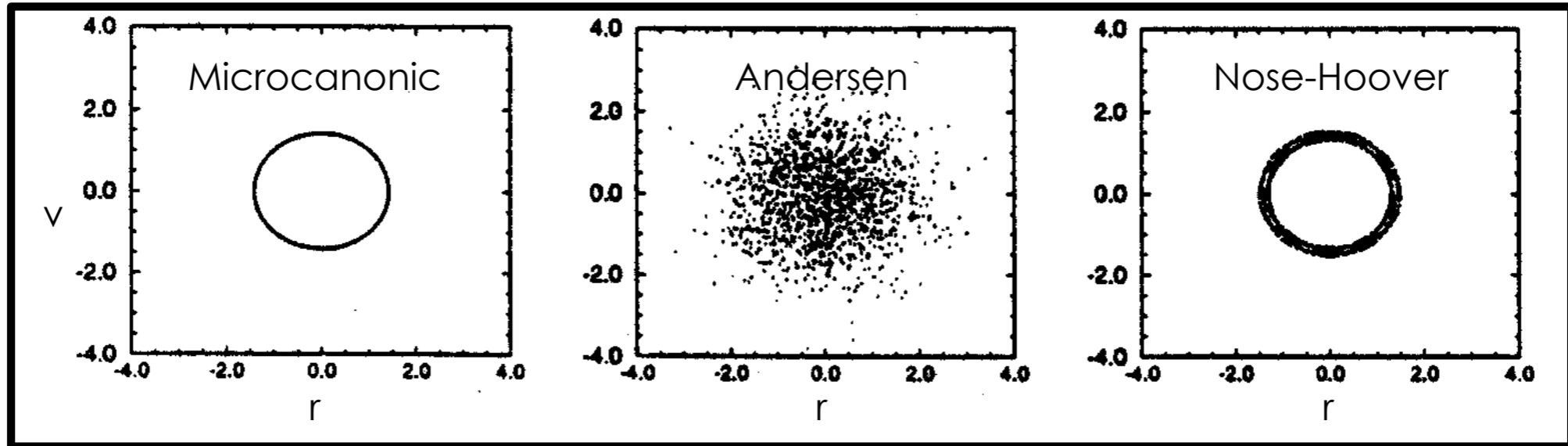
$\left\{ \begin{array}{l} \mathcal{T} < T \quad \text{smaller friction} \\ \mathcal{T} > T \quad \text{larger friction} \end{array} \right.$

**Q large: slow equilibration**  
**Q small: high freq. T**

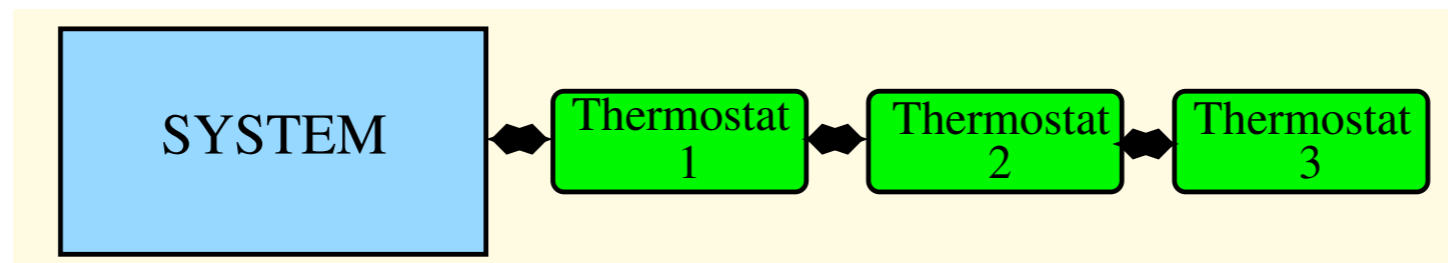


# Ergodicity Problems

## Simple 1D harmonic oscillator



Ergodicity problems can be solved by implementing a chain of thermostats



$$\mathcal{H}_{\text{NHC}} = \sum_i \frac{\mathbf{p}_i^2}{2m_i} + \mathcal{U}(\mathbf{r}^N) + \sum_j \frac{Q_j \xi_j^2}{2} + g k_B T s_1 + \sum_{j=2}^M k_B T s_j$$

# CP2K MD Input

```
&GLOBAL
  PROJECT pname
  RUN_TYPE md
&END GLOBAL
&MOTION
  &MD
    ENSEMBLE NVT
    STEPS 10
    TIMESTEP [fs] 0.5
    TEMPERATURE [K] 300.0
  &THERMOSTAT
    REGION MASSIVE
  &NOSE
    LENGTH 1
    TIMECON 10
  &END NOSE
  &END THERMOSTAT
&END MD

  &EACH
    MD 5
  &END EACH
&END RESTART
&STRESS
  &EACH
    MD 1
  &END EACH
&END STRESS
&TRAJECTORY
  &EACH
    MD 1
  &END EACH
&END TRAJECTORY
&VELOCITIES
  &EACH
    MD 1
  &END EACH
&END VELOCITIES
&END PRINT
&END MOTION

&PRINT
  &RESTART
  ADD_LAST NUMERIC
  BACKUP_COPIES 1
```

# Born-Oppenheimer Approximation

$$\mathcal{H}(\mathbf{R}^N, \mathbf{x}^{N_{el}})\Psi(\mathbf{R}^N, \mathbf{x}^{N_{el}}) = E\Psi(\mathbf{R}^N, \mathbf{x}^{N_{el}})$$

Separation of time scales  $\frac{\omega_e}{\omega_n} \sim \sqrt{\frac{m_n}{m_e}} \sim 100$

- ☀ The total wave function is factorised

$$\Psi(\mathbf{R}^N, \mathbf{x}^{N_{el}}) = \Sigma(\mathbf{R}^N)\Phi_{\mathbf{R}}(\mathbf{x}^{N_{el}})$$

- ☀ Electronic structure optimised in the potential of fixed ions

$$\mathcal{H}_{el}\Phi_{\mathbf{R}}(\mathbf{x}^{N_{el}}) = U(\mathbf{R}^N)\Phi_{\mathbf{R}}(\mathbf{x}^{N_{el}})$$

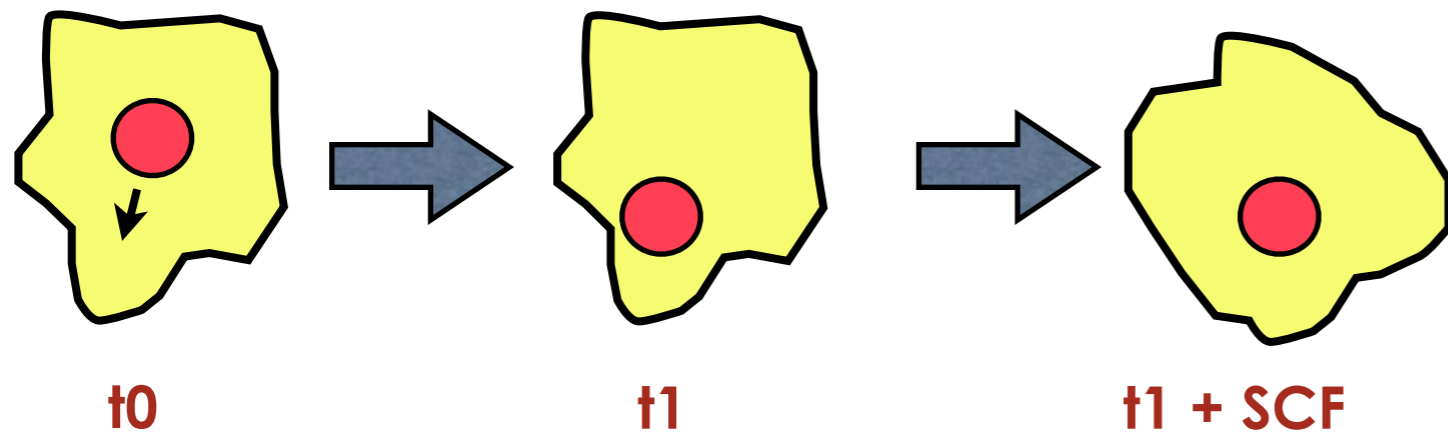
- ☀ Electronic and nuclear solutions are decoupled

$$\mathcal{H}_n\Sigma(\mathbf{R}^N) = E\Sigma(\mathbf{R}^N)$$

- ☀ Nuclei are in most of the case treated as classical particles

**Electronic and nuclear motions  
are adiabatically separated**

# Integrator for BOMD



Born-Oppenheimer MD  
Adiabatic approx.  
Semiclassical approx.

$$\mathcal{L}_{\text{BO}} \left( \{\mathbf{R}_I\}, \{\dot{\mathbf{R}}_I\} \right) = \sum_{I=1}^N \frac{1}{2} M_I \dot{\mathbf{R}}_I^2 - \min_{\{\phi_i\}} E_{\text{KS}} (\{\phi_i\}, \{\mathbf{R}_I\})$$

No electron Dynamics

Classical equations of motion

$$M_I \ddot{\mathbf{R}}_I(t) = -\nabla_I \left[ \min_{\{\phi_i\}} E_{\text{KS}} (\{\phi_i\}, \{\mathbf{R}_I(t)\}) \right]$$

Integration step determined by the time scale  
of the nuclear dynamics: **~femtoseconds**

$$\mathbf{F}_I = - \left[ \langle \Psi_0 | \nabla_I \mathcal{H}_{\text{KS}} | \Psi_0 \rangle + \langle \nabla_I \Psi_0 | \mathcal{H}_{\text{KS}} | \Psi_0 \rangle + \langle \Psi_0 | \mathcal{H}_{\text{KS}} | \nabla_I \Psi_0 \rangle \right]$$



# Forces in BO-MD

For exact eigenstates and complete basis sets, the contributions from variations of the wavefunction vanish exactly

$$\mathbf{F}_I^{HFT} = -\langle \Psi_0 | \nabla_I \mathcal{H}_{KS} | \Psi_0 \rangle \quad \text{Hellman-Feynman}$$

$$\nabla_I \phi_i = \sum_{\nu} (\nabla_I c_{i\nu}) \varphi_{\nu}(\mathbf{r}; \{\mathbf{R}_I\}) + \sum_{\nu} c_{i\nu} (\nabla_I \varphi_{\nu}(\mathbf{r}; \{\mathbf{R}_I\}))$$

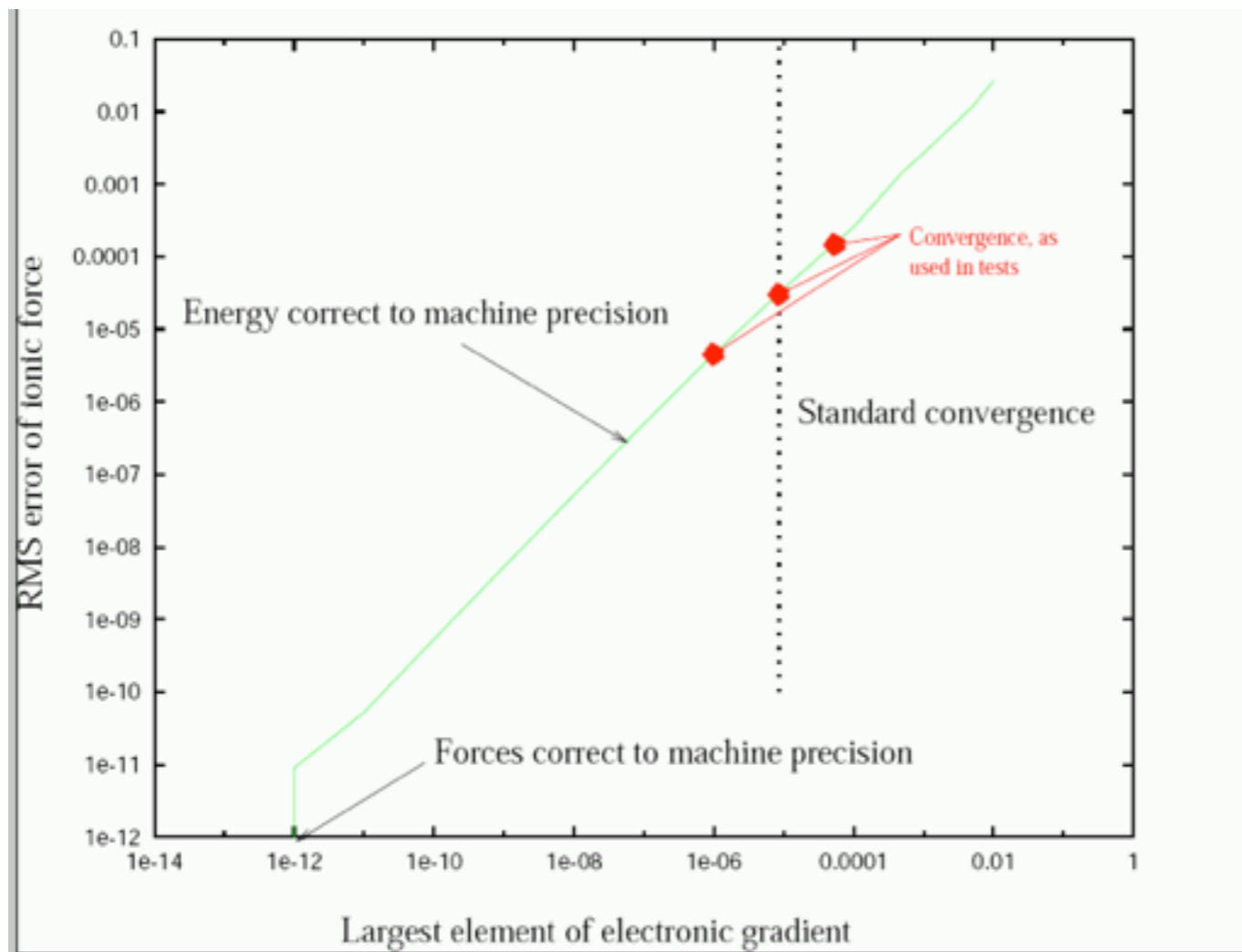
implicit dependence of the expansion coefficients:  
not exact self-consistency  
NSC

explicit dependence of the basis function  
IBS

$$\mathbf{F}_I^{\text{NSC}} = - \int d\mathbf{r} (\nabla_I n) (V^{\text{SCF}} - V^{\text{NSC}})$$

$$\mathbf{F}_I^{\text{IBS}} = - \sum_{i\nu\mu} (\langle \nabla_I \varphi_{\nu} | H_e^{\text{NSC}} - \epsilon_i | \varphi_{\mu} \rangle + \langle \varphi_{\nu} | H_e^{\text{NSC}} - \epsilon_i | \nabla_I \varphi_{\mu} \rangle)$$

# Stability in BOMD



64 H<sub>2</sub>O, 330 K, 1 gr/cm<sup>3</sup>  
 TZV2P, PBE, GTH, 280 Ry  
 0.5fs step

**Reference: 1ps, SCF 10<sup>-10</sup>**  
 Unbiased initial guess

$\epsilon_{\text{SCF}}$	MAE $E_{\text{KS}}$ Hartree	MAE f Hartree/Bohr	Drift Kelvin/ns
10 <sup>-08</sup>	1.2 · 10 <sup>-11</sup>	5.1 · 10 <sup>-09</sup>	0.0
10 <sup>-07</sup>	9.5 · 10 <sup>-10</sup>	5.6 · 10 <sup>-08</sup>	0.1
10 <sup>-06</sup>	6.9 · 10 <sup>-08</sup>	4.8 · 10 <sup>-07</sup>	0.4
10 <sup>-05</sup>	7.4 · 10 <sup>-06</sup>	5.6 · 10 <sup>-06</sup>	2.3
10 <sup>-04</sup>	3.3 · 10 <sup>-04</sup>	5.9 · 10 <sup>-05</sup>	≈ 50

**Error in Forces ↔ MD Stability**

Energy  $\min_{\psi} E_{\text{KS}}[\{\psi\}]$

error 2nd order in  $\delta\psi$

Forces  $dE_{\text{KS}}[\{\psi\}]/d\mathbf{R}$

error 1st order in  $\delta\psi$

# Generalised Lagrangian

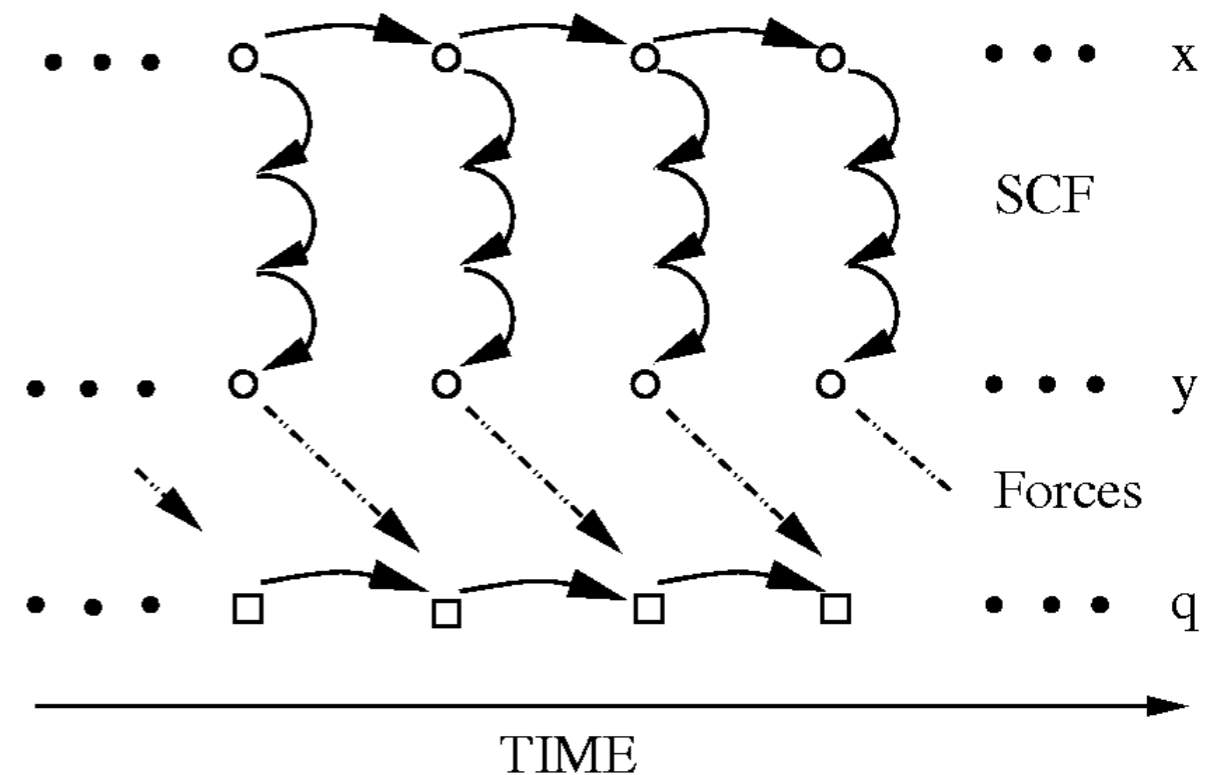
$$\mathcal{L}(\mathbf{q}, \dot{\mathbf{q}}, \mathbf{x}, \dot{\mathbf{x}}) = \frac{1}{2}M\dot{\mathbf{q}}^2 + \frac{1}{2}\mu\dot{\mathbf{x}}^2 - E(\mathbf{q}, \mathbf{y}) + k\mu G(\|\mathbf{x} - \mathbf{y}\|)$$

$$\mathbf{y} = F(\mathbf{q}, \mathbf{x})$$

wfn optimisation

$$G(\|\mathbf{x} - \mathbf{y}\|)$$

wfn retention potential



equations of motion

$$M\ddot{\mathbf{q}} = -\frac{\partial E}{\partial \mathbf{q}} - \frac{\partial E}{\partial \mathbf{y}} \frac{\partial F}{\partial \mathbf{q}} + k\mu \frac{\partial G}{\partial \mathbf{y}} \frac{\partial F}{\partial \mathbf{q}}$$

$$\mu\ddot{\mathbf{x}} = -\frac{\partial E}{\partial \mathbf{y}} \frac{\partial F}{\partial \mathbf{x}} + k\mu \left[ \frac{\partial G}{\partial \mathbf{x}} + \frac{\partial G}{\partial \mathbf{y}} \frac{\partial F}{\partial \mathbf{x}} \right]$$

# Car Parrinello MD

$$\mathcal{L}(\mathbf{q}, \dot{\mathbf{q}}, \mathbf{x}, \dot{\mathbf{x}}) = \frac{1}{2} M \dot{\mathbf{q}}^2 + \frac{1}{2} \mu \dot{\mathbf{x}}^2 - E(\mathbf{q}, \mathbf{x})$$

$$\mathbf{x} = \mathbf{y}$$

$$G(\|\mathbf{x} - \mathbf{y}\|) = 0$$

Extended system approach: add KS orbitals as explicit classical variables

$$\mathcal{L}_{\text{CP}} = \underbrace{\sum_I \frac{1}{2} M_I \dot{\mathbf{R}}_I^2 + \sum_i \mu \langle \dot{\phi}_i | \dot{\phi}_i \rangle}_{\text{kinetic energy}} - \underbrace{E_{\text{KS}}[\{\phi_i\}, \{\mathbf{R}_I\}]}_{\text{potential energy}} + \underbrace{\sum_{i,j} \Lambda_{ij} (\langle \phi_i | \phi_j \rangle - \delta_{ij})}_{\text{constraint}}$$

$$M_I \ddot{\mathbf{R}}_I(t) = -\frac{\partial E_{\text{KS}}}{\partial \mathbf{R}_I} + \sum_{ij} \Lambda_{ij} \frac{\partial}{\partial \mathbf{R}_I} \langle \phi_i | \phi_j \rangle$$

$$\mu \ddot{\phi}_i(t) = -\frac{\delta E_{\text{KS}}}{\delta \langle \phi_i |} + \sum_j \Lambda_{ij} |\phi_j \rangle$$

Fictitious mass

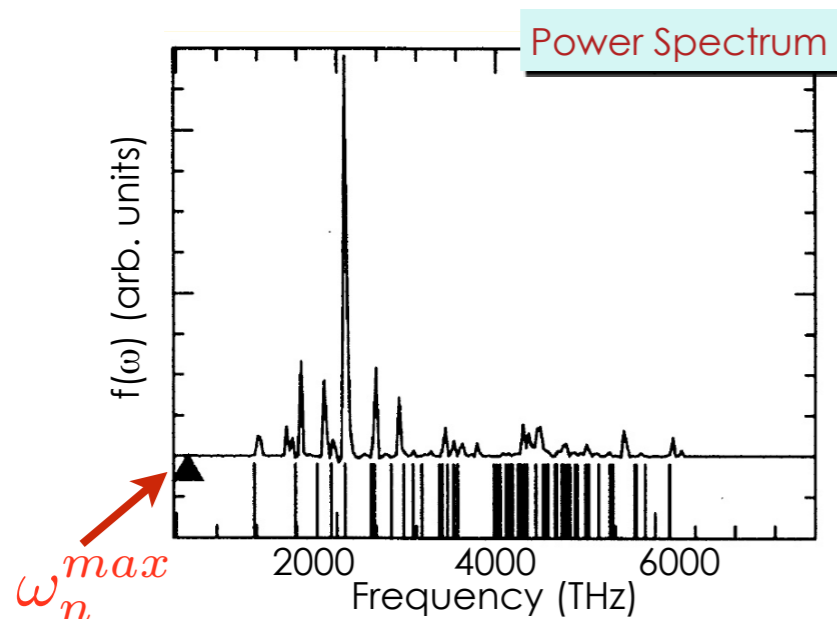
Constraint forces

# Properties of CPMD

- Two systems propagate simultaneously: **fictitious dynamics of orbitals**
- Stable propagator: **exact forces**
- Hot nuclei and cold orbitals: **el. close to BO surface**

$$T_{el} \propto \sum_i \mu \langle \dot{\phi}_i | \dot{\phi}_i \rangle$$

- Decoupled subsystems
- Fictitious dyn. averages out over nuclear time scales



$$\omega_e^{\min} \propto \left( \frac{E_{\text{gap}}}{\mu} \right)^{1/2}$$

$$\delta t^{\max} \propto [\omega_e^{\max}]^{-1} \propto \left( \frac{\mu}{E_{\text{cut}}} \right)^{1/2}$$

$$\mu \sim 500-1000 \text{ a.u.}$$

$$\delta t \sim 0.12-0.24 \text{ fs}$$

# BOMD with incomplete convergence

**BOMD**       $\mathbf{y} = \text{Min}_{\mathbf{x}} E(\mathbf{q}, \mathbf{x})$        $\mu = 0$

Decoupled Lagrangian dynamics

$$\mathcal{L}(\mathbf{q}, \dot{\mathbf{q}}) = \frac{1}{2} M \dot{\mathbf{q}}^2 - E(\mathbf{q}, \mathbf{y}) \qquad \mathcal{L}(\mathbf{x}, \dot{\mathbf{x}}) = \frac{1}{2} \dot{\mathbf{x}}^2 + kG(\|\mathbf{x} - \mathbf{y}\|)$$

Incomplete convergence

$$\mathbf{y} \approx \text{Min}_{\mathbf{x}} E(\mathbf{q}, \mathbf{x})$$

**EOM**

$$M\ddot{\mathbf{q}} = -\frac{\partial E}{\partial \mathbf{q}} - \frac{\partial E}{\partial \mathbf{y}} \frac{\partial F}{\partial \mathbf{q}}$$

$$\ddot{\mathbf{x}} = k \left[ \frac{\partial G}{\partial \mathbf{x}} + \frac{\partial G}{\partial \mathbf{y}} \frac{\partial F}{\partial \mathbf{x}} \right]$$

coupling via SCF  
error is neglected

# Extrapolation Methods

Integration of electronic DOF has to be

**accurate:** good wavefunction guess gives improved efficiency

**stable:** do not destroy time-reversibility of nuclear trajectory



Unbiased guess

$$\mathbf{C}_{\text{init}} = \mathbf{C}_0$$



Combinations of previous wavefunctions: unstable



Extrapolation of the density matrix: **PS** methods,  $O(MN^2)$

$$\mathbf{C}(t_n) = \sum_{m=1}^K (-1)^{m+1} \begin{bmatrix} K \\ j \end{bmatrix} \mathbf{C}(t_{n-m}) \mathbf{C}^\dagger(t_{n-m}) \mathbf{S}(t_{n-m}) \mathbf{C}(t_{n-1})$$



Always stable predictor corrector (ASPC) based on OT minimisation

# ASPC

Projection onto the occupied subspace

$$\mathbf{C}^p(t_n) = \sum_{m=1}^K (-1)^{m+1} m \frac{\binom{2K}{K-m}}{\binom{2K-2}{K-1}} \mathbf{C}(t_{n-m}) \mathbf{C}^\dagger(t_{n-m}) \mathbf{S}(t_{n-m}) \mathbf{C}(t_{n-1})$$

Reversibility  
 $O(\Delta t^{(2K-1)})$

iterate

The corrector step minimises the error and reduces the deviation from ground state

$$\mathbf{C}(t_n) = \omega \text{MIN}[\mathbf{C}^p(t_n)] + (1 - \omega) \mathbf{C}^p(t_n) \quad \omega = \frac{K}{2K - 1}$$

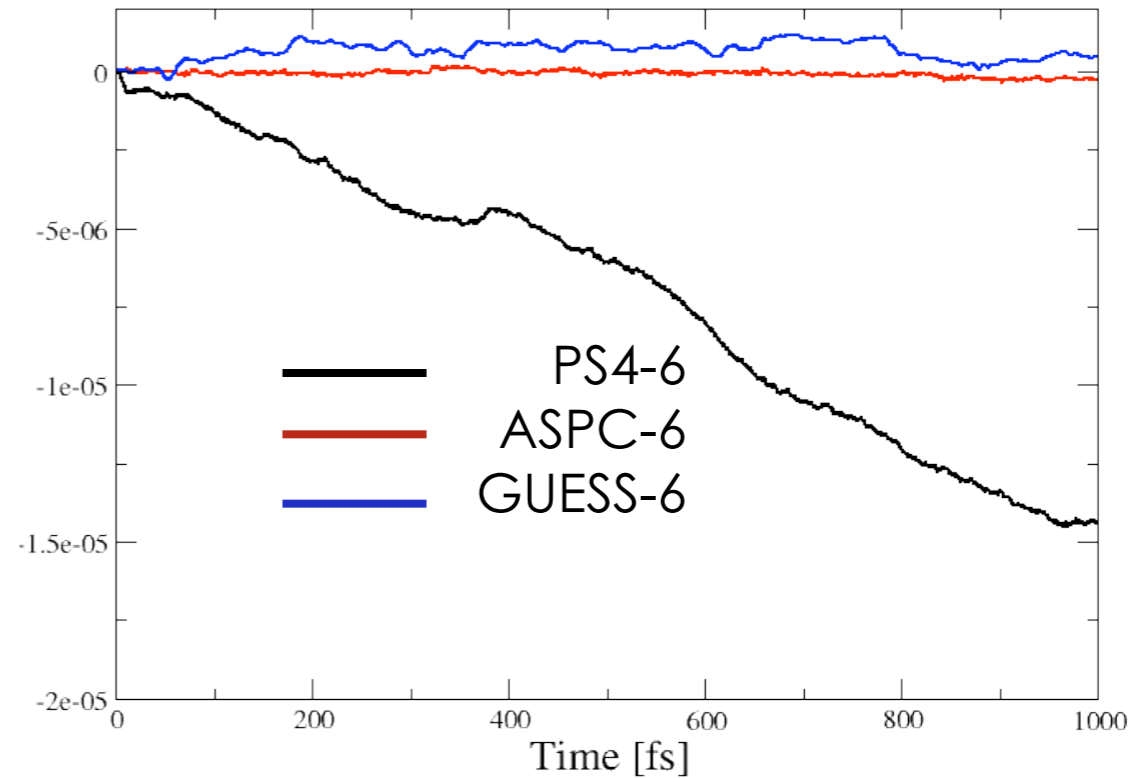
Preconditioned OT minimisation step: large move



# Efficiency and Drift

64 H<sub>2</sub>O, 330 K, 1 gr/cm<sup>3</sup>

Method	$\epsilon_{\text{SCF}}$	Iterations	Drift ( $\mu\text{Hartree/ns}$ )
Guess	$10^{-06}$	14.38	253
PS4	$10^{-10}$	14.95	—
PS4	$10^{-08}$	8.05	-195
PS4	$10^{-07}$	6.47	-3441
PS4	$10^{-06}$	5.22	-7186
PS4	$10^{-05}$	4.60	52771
ASPC	$10^{-06}$	5.01	-115
ASPC	$10^{-05}$	3.02	-2758
ASPC	$10^{-04}$	1.62	-1059843
ASPC	$10^{-02}$	1.03	-13219651



## Gear not time reversible

Method	$\epsilon_{\text{SCF}}$	Iterations	Drift (Kelvin/ns)
Guess	$10^{-06}$	14.38	0.4
ASPC(3)	$10^{-06}$	5.01	0.2
ASPC(3)	$10^{-05}$	3.02	4.5
Gear(4)	$10^{-07}$	6.47	5.7
Gear(4)	$10^{-06}$	5.22	11.8
Gear(4)	$10^{-05}$	4.60	86.8

Method	$\epsilon_{\text{SCF}}$	Iterations	Drift (Kelvin/ns)
ASPC(4)	$10^{-04}$	1.62	1742.4
ASPC(5)	$10^{-04}$	1.63	1094.0
ASPC(6)	$10^{-04}$	1.79	397.4
ASPC(7)	$10^{-04}$	1.97	445.8
ASPC(8)	$10^{-04}$	2.06	24.1

# DFT section with ASPC

```
&DFT
BASIS_SET_FILE_NAME ../BASIS_SET
POTENTIAL_FILE_NAME ../GTH_POTENTIAL
&MGRID
  CUTOFF 300
&END MGRID
&QS
  EPS_DEFAULT 1.0E-12
  EXTRAPOLATION ASPC
  EXTRAPOLATION_ORDER 4
&END QS
&SCF
  EPS_SCF 1.0E-5
  SCF_GUESS ATOMIC
  &OT ON
    MINIMIZER DIIS
  &END OT
&END SCF
&XC
  &XC_FUNCTIONAL BLYP
  &END XC_FUNCTIONAL
&END XC
&END DFT

&SUBSYS
&CELL
  ABC 8.0 8.0 8.0
&END CELL
&COORD
O  0.000000  0.000000 -0.065587
H  0.000000 -0.757136  0.520545
H  0.000000  0.757136  0.520545
&END COORD
&KIND H
  BASIS_SET DZVP-GTH-BLYP
  POTENTIAL GTH-PADE-q1
&END KIND
&KIND O
  BASIS_SET DZVP-GTH-BLYP
  POTENTIAL GTH-PADE-q6
&END KIND
&END SUBSYS
```

# Forces in Approximated BOMD

**exact**  $\mathbf{F}_{\text{BO}}(\mathbf{R}) = \mathbf{F}_{\text{HF}}(\mathbf{R}) + \mathbf{F}_{\text{Pulay}}(\mathbf{R}) + \mathbf{F}_{\text{nsc}}(\mathbf{R})$

**approximated**  $\tilde{\mathbf{F}}(\mathbf{R}) = \mathbf{F}_{\text{HF}}(\mathbf{R}) + \mathbf{F}_{\text{Pulay}}(\mathbf{R})$

Now assume  $\tilde{\mathbf{F}}(\mathbf{R}) + \mathbf{F}_{\text{nsc}}(\mathbf{R}) = \mathbf{F}_{\text{BO}}(\mathbf{R}) - \gamma_D \dot{\mathbf{R}}$  friction

Langevin dynamics to correct the error (dissipative drift)

$$M_I \ddot{\mathbf{R}}_I = \mathbf{F}_I^{\text{BO}} - (\gamma_D + \gamma_L) \dot{\mathbf{R}}_I + \Xi_I^D + \Xi_I^L$$

Gaussian random noise  
guarantees accurate  
Boltzmann sampling

**fluctuation dissipation theorem**

$$\langle (\Xi_I^D(0) + \Xi_I^L(0)) (\Xi_I^D(t) + \Xi_I^L(t)) \rangle = 6(\gamma_D + \gamma_L) M_I k_B T \delta t$$

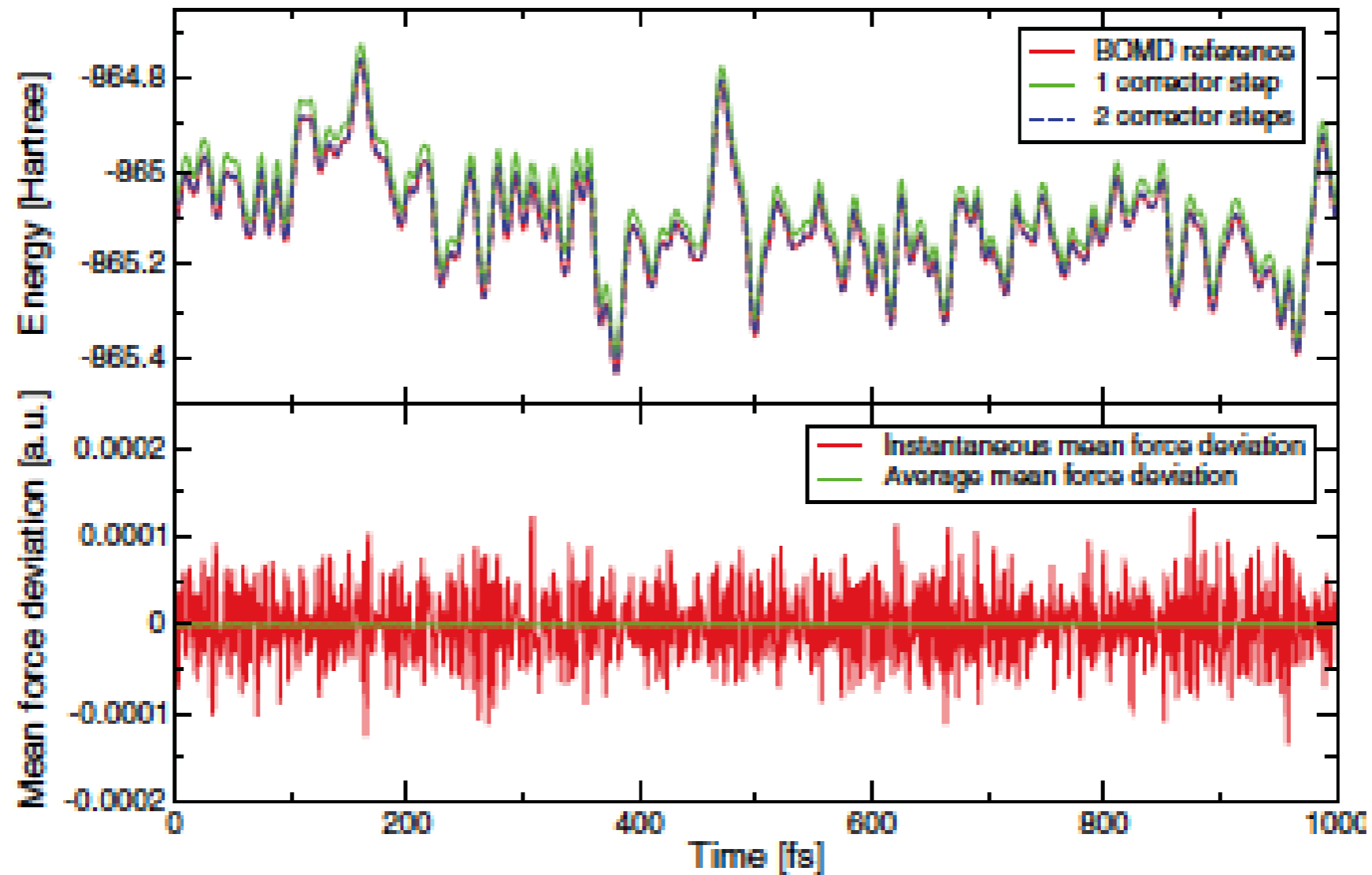
given  $\left\langle \frac{1}{2} M_I \dot{\mathbf{R}}_I^2 \right\rangle = \frac{3}{2} k_B T$  this determines the friction

# validation

liquid silica, 24 SiO<sub>2</sub> at 3500 K

Time step:  $\Delta t = 1$  fs

$\gamma_D = 10^{-4} \text{ fs}^{-1}$ ,  $K=4$

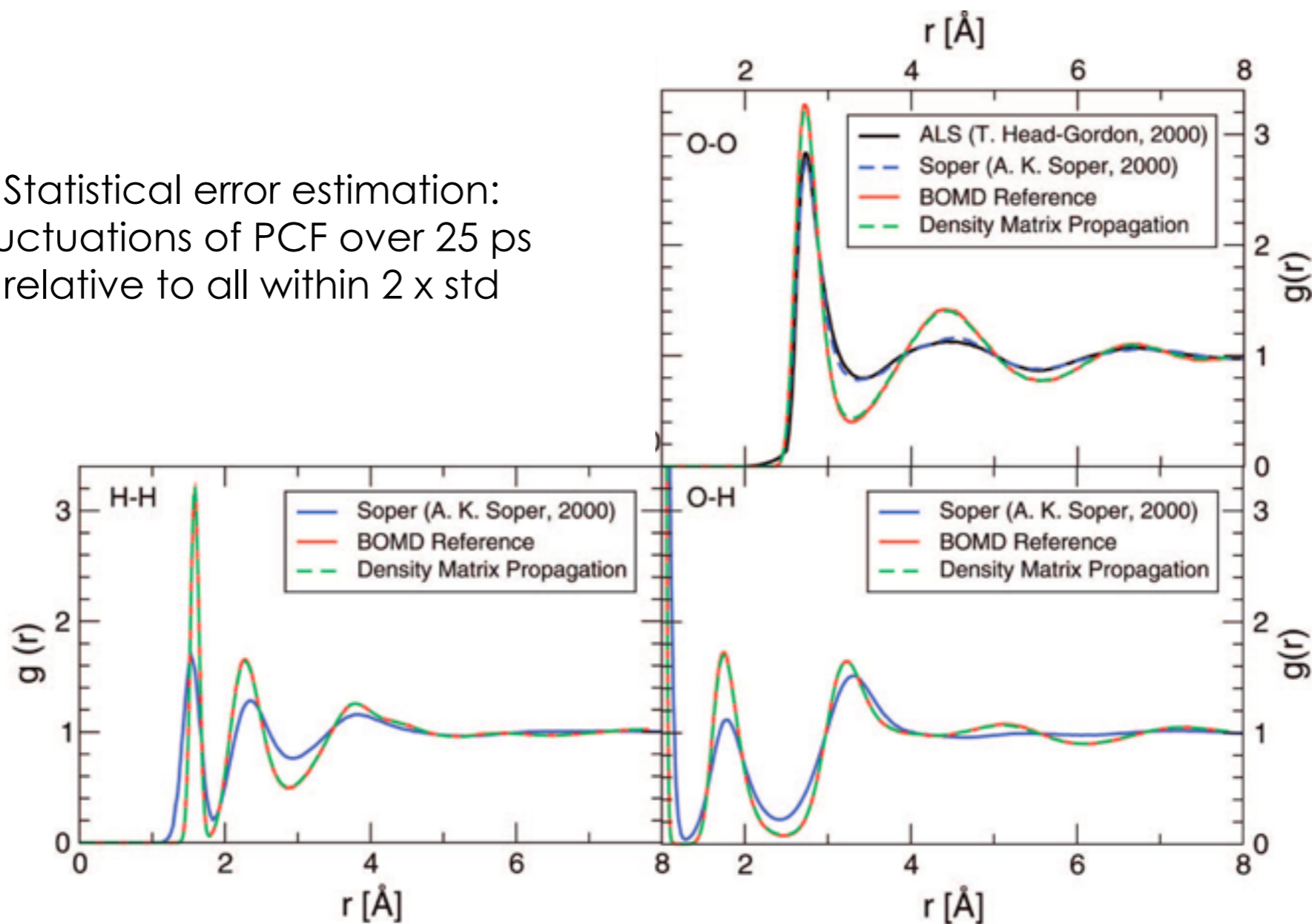


**Bonds are swiftly broken and formed**  
**Worst case scenario for P propagation, as the electronic density is rapidly varying**

# Liquid Water

PBE, TZV2P, 320 Ry  
300 K,  $\Delta t = 0.5$  fs, **25+250 ps** trajectories  
 $\gamma_D = 8.65 \cdot 10^{-5} \text{ fs}^{-1}$ ,  $K=7 \Rightarrow 1$  PC step, deviation  $10^{-5}$  au

Statistical error estimation:  
fluctuations of PCF over 25 ps  
relative to all within 2 x std



# Geometrical Constraints

Implicit functions of the degrees of freedom of the system

$$\sigma(\{\mathbf{R}_I\}, \mathbf{h}, \Psi) = 0 \quad \dot{\sigma}(\{\mathbf{R}_I\}, \mathbf{h}, \Psi) = 0$$

- ☀ To freeze fast degrees of freedom and increase the time step: e.g., intra-molecular bonds by distance constraints
- ☀ To explore only a sub-region of the conformational space
- ☀ As reaction coordinates : constrained dynamics and thermodynamic integration
- ☀ To prevent specific events or reactions

Lagrange formulation for simple constraints, functions of  $\mathbf{R}_I$

$$\mathcal{L}'(\{\mathbf{R}_I\}, \{\mathbf{P}_I\}) = \mathcal{L}(\{\mathbf{R}_I\}, \{\mathbf{P}_I\}) - \sum_{\alpha} \lambda_{\alpha} \sigma(\{\mathbf{R}_I\})$$

**The Lagrange multipliers ensure that positions and velocities satisfy the constraints**

# Shake-Rattle algorithm

Modified velocity Verlet scheme by additional **constraint forces**

☀ First update of velocities (first half step) and positions

$$V'_I = V_I(t) + \frac{\delta t}{2M_I} F_I(t) \quad R'_I = R_I(t) + \delta t V'_I$$

☀ Positions' correction by **constraint forces**

$$R_I(t + \delta t) = R'_I + \frac{\delta t^2}{2M_I} g_I^{(p)}(t)$$

☀ Calculation of the new forces  $F_I(t+\delta t)$

☀ Update of velocity (second half step)

☀ Correction by the constraint forces

$$V_I(t + \delta t) = V'_I + \frac{\delta t}{2M_I} [F_I(t + \delta t) + g_I^{(v)}(t + \delta t)]$$

## Constraint Forces

$$g_I^{(p)}(t) = - \sum_{\alpha} \lambda_{\alpha}^{(p)} \frac{\partial \sigma_{\alpha}(\{R_I\})}{\partial R_I}$$

$$e_{\alpha}(\{\lambda_{\gamma}\}) = - \sum_{\beta} \mathbf{J}_{\alpha\beta}^{-1} \sigma_{\beta}(\{\lambda_{\gamma}\}) \quad \mathbf{J}_{\alpha\beta} = \frac{\partial \sigma_{\alpha}(\{\lambda_{\gamma}\})}{\partial \lambda_{\beta}}$$

Set of non-linear equations **solved iteratively**

$$g_I^{(v)}(t) = - \sum_{\alpha} \lambda_{\alpha}^{(v)} \frac{\partial \sigma_{\alpha}(\{R_I\})}{\partial R_I}$$

$$\sum_I \frac{\partial \sigma_{\alpha}}{\partial \mathbf{R}_I} \mathbf{V}_I = \sum_I \frac{\partial \sigma_{\alpha}}{\partial \mathbf{R}_I} \cdot \mathbf{V}'_I + \sum_{\beta} \left( \sum_I \frac{\delta t^2}{2M_I} \frac{\partial \sigma_{\alpha}}{\partial \mathbf{R}_I} \frac{\partial \sigma_{\beta}}{\partial \mathbf{R}_I} \right) \lambda_{\beta}^v = 0$$

# Some simple collective variables

Derivable function of the degrees of freedom to which a given value can be assigned

● Distance

$$|\mathbf{R}_I - \mathbf{R}_J|$$

● Angle

$$\theta(\mathbf{R}_I, \mathbf{R}_J, \mathbf{R}_k)$$

● Dihedral

$$\Theta(\mathbf{R}_I, \mathbf{R}_J, \mathbf{R}_k, \mathbf{R}_L)$$

● Difference of distances

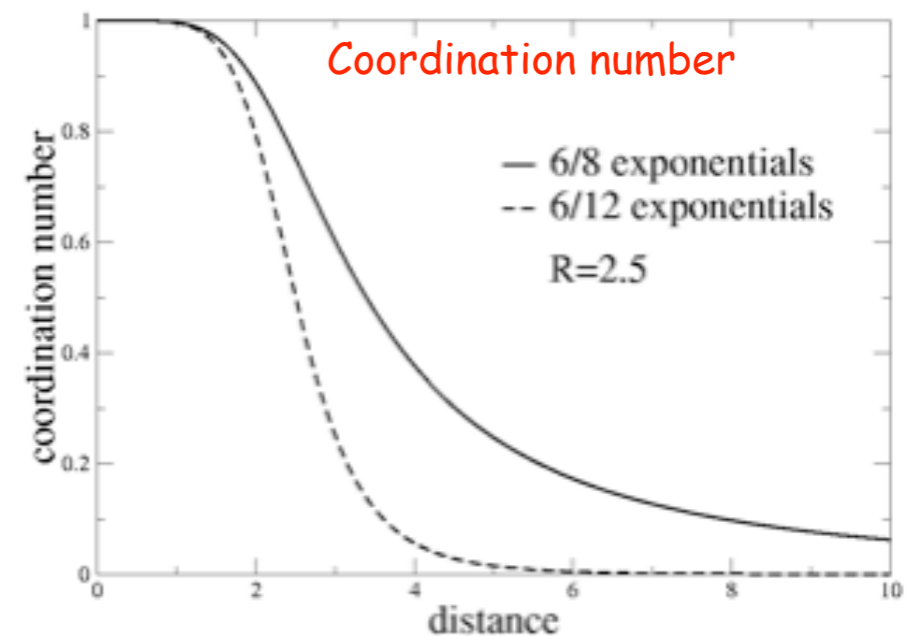
$$|\mathbf{R}_I - \mathbf{R}_J| - |\mathbf{R}_J - \mathbf{R}_K|$$

● Generalised coordination number

$$C_{L_1 L_2} = \frac{1}{N_{L_1}} \sum_{j=1}^{N_{L_1}} \left\{ \sum_{i=1}^{N_{L_2}} \frac{1 - \left(\frac{r_{ij}}{r_0}\right)^n}{1 - \left(\frac{r_{ij}}{r_0}\right)^m} \right\}$$

● Generalised displacement

$$D_{L_1 L_2}^{[klm]} = \frac{1}{N_{L_1}} \sum_{i \in L_1} \mathbf{d}_i \cdot \hat{\mathbf{v}}_{[klm]} - \frac{1}{N_{L_2}} \sum_{j \in L_2} \mathbf{d}_j \cdot \hat{\mathbf{v}}_{[klm]}$$





# CP2K input for Collective variables

In SUBSYS add one section per CV

**&COLVAR**

**&COORDINATION**

KINDS\_FROM N

KINDS\_TO O

R\_0 [angstrom] 1.8

NN 8

ND 14

**&END COORDINATION**

**&END COLVAR**

**&COLVAR**

**&DISTANCE\_FUNCTION**

ATOMS 4 6 6 1

COEFFICIENT -1.00000

# distance 1 = ( 4 - 6 )

# distance 2 = ( 6 - 1 )

**&END DISTANCE\_FUNCTION**

**&END COLVAR**

**&COLVAR**

**&DISTANCE**

AXIS X

ATOMS 1 4

**&END DISTANCE**

**&END COLVAR**

**&COLVAR**

**&RMSD**

**&FRAME**

COORD\_FILE\_NAME planar.xyz

**&END**

**&FRAME**

COORD\_FILE\_NAME cage.xyz

**&END**

SUBSET\_TYPE LIST

ATOMS 1 3 5 6 8 9

ALIGN\_FRAMES T

**&END RMSD**

**&END**

# Constraints and Restraints

In MOTION add one section per constraint

```
&CONSTRAINT
&COLLECTIVE
COLVAR 1
INTERMOLECULAR
TARGET 5.
TARGET_GROWTH 1.1
TARGET_LIMIT 10.
&END COLLECTIVE
&END CONSTRAINT
```

```
&COLLECTIVE
TARGET [deg] 0.0
MOLECULE 1
COLVAR 1
&RESTRAINT
K [kcalmol] 4.90
&END RESTRAINT
&END COLLECTIVE
```